



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Herman Lee et al.	)
Serial No.: 10/619,122	) Examiner: Not Yet Assigned )
Filed: July 14, 2003	) Art Unit: Not Yet Assigned
For: SYSTEM AND METHOD FOR OP- TIMIZED LUN MASKING	) ) )
	Cesari and McKenna, LLP 88 Black Falcon Avenue Boston, MA 02210 September 17, 2003

## **CERTIFICATE OF MAILING**

I hereby certify that the following Information-Disclosure Statement is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 17, 2003.

Brigid M. Laffey

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## **INFORMATION-DISCLOSURE STATEMENT**

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

PATENTS 112056-0085 P01-1486

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Duane H. Dreger

Reg. No. 48,836

CESARI AND MCKENNA, LLP

88 Black Falcon Avenue Boston, MA 02210-2414

(617) 951-2500

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		C mplete if Kn wn			
				Application Number	10/619,122
INFO	RMATION I	DIS	CLOSURE	Filing Date	July 14, 2003
STATEMENT BY APPLICANT		First Named Inventor	Herman Lee et al.		
סוא				Group Art Unit	Not Yet Assigned
	(use as many shee	ets as	necessary)	Examiner Name	Not Yet Assigned
Sheet	1 T	of	2	Attorney Docket Number	112056-0085

U.S. PATENT DOCUMENTS								
Cite No.1	U.S. Patent Document  Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Releval Passages or Relevant Figures Appear			
	5,163,131		Edward J. Row et al.	11/10/1992				
	5,355,453		Edward J. Row et al.	10/11/1994				
	5,485,579		David Hitz et al.	01/16/1996				
	5,802,366		Edward J. Row et al.	09/01/1998				
	5,819,292		David Hitz et al.	10/06/1998				
	5,931,918		Edward J. Row et al.	08/03/1999				
	5,941,972		Geoffrey B. Hoese et al.	08/24/1999				
	5,948,110		David Hitz et al.	09/07/1999				
	5,950,225		Steven R. Kleiman et al.	09/07/1999				
	5,963,962		David Hitz et al.	10/05/1999				
	6,038,570		David Hitz et al.	03/14/2000				
	6,065,037		David Hitz et al.	05/16/2000				
	6,138,126	Ī	David Hitz et al.	10/24/2000				
	6,289,356	B1	David Hitz et al.	09/11/2001				
	6,425,035	B2	Geoffrey B. Hoese et al.	07/23/2002				
		<u> </u>						
	Cite No.1	Cite No.1 Number Kin (if I)  5,163,131  5,355,453  5,485,579  5,802,366  5,819,292  5,931,918  5,941,972  5,948,110  5,950,225  5,963,962  6,038,570  6,065,037  6,138,126  6,289,356	Cite No.1 Number Kind Code <sup>2</sup> (if known)  5,163,131  5,355,453  5,485,579  5,802,366  5,819,292  5,931,918  5,941,972  5,948,110  5,950,225  5,963,962  6,038,570  6,065,037  6,138,126  6,289,356  B1	U.S. Patent Document   Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document   Name of Patentee or Applicant of Cited Document   Publication of Cited Document   MM-DD-YYYY			

	FOREIGN PATENT DOCUMENTS								
		Fo	oreign Patent Do	cument	Name of Patentee or Applicant of Cited Document	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	
Examine r Initials*	Cite No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )		Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>	
···									
		<u> </u>	<u> </u>						
		1							

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitu	Substitute for form 1449A/PTO				Complet if Known	
		D10		Application Number	10/619,122	
INFORMATION DISCLOSURE				Filing Date	July 14, 2003	
STA	STATEMENT BY APPLICANT		First Named Inventor	Herman Lee et al.		
				Group Art Unit	Not Yet Assigned	
	(use as many she	ets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	2	of	2	Attorney Docket Number	112056-0085	

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2				
		DAVID HITZ et al. TR3002 File System Design for a NFS File Server Appliance published by Network Appliance, Inc.					
		Fielding et al. (1999) Request for Comments (RFC) 2616, HTTP/1.1					
		Common Internet File System (CIFS) Version: CIFS-Spec 0.9, Storage Networking Industry Association (SNIA), Draft SNIA CIFS Documentation Work Group Work-in-Progress, Revision Date: 3/26/2001	-				
·							

Examiner	- ""	Date	
Signature		Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.